INTRODUCTION

1. A chemical weapons attack was conducted in the morning of 4 April 2017 on the town of Khan Shaykhun in the Syrian Arab Republic ("Syria").

2. The Joint Investigative Mechanism (the “JIM”) of the United Nations and the Organisation for the Prohibition of Chemical Weapons ("OPCW") investigated the attack and concluded that it was "confident that the Syrian Arab Republic is responsible for the release of sarin at Khan Shaykhun on 4 April 2017."1

3. This document summarizes portions of an evidentiary brief supporting a criminal complaint filed by the Open Society Justice Initiative, Syrian Center for Media and Freedom of Expression, and Syrian Archive on behalf of victims of the chemical weapons attack at Khan Shaykhun.

   • First, this document details the use of chemical weapons in Khan Shaykhun on 4 April 2017.
   
   • Second, it analyzes evidence supporting the attribution of responsibility to the Syrian government, and identifies specific persons and chains of command alleged to have had a role in the chemical attacks.
   
   • Third, it places the chemical attack on Khan Shaykhun within the broader strategy of the Syrian government and a pattern of deliberate violence against civilians in opposition-held areas.
   
   • Finally, it discusses the use of chemical weapons in Khan Shaykhun as a war crime and crime against humanity.

THE USE OF CHEMICAL WEAPONS AT KHAN SHAYKHUN

4. The chemical weapons attack on 4 April 2017 targeted the town of Khan Shaykhun in the southern Idlib Governorate. Khan Shaykhun is located on the strategic M5 motorway, which runs as a main artery from Aleppo to Damascus and cuts through all of Syria’s major cities.2 The subdistrict of Khan Shaykhun had a population of

---


www.justiceinitiative.org
approximately 34,000 and the town itself of 16,000 around 2017. This included many displaced Syrians notably from the northern Hama countryside.

5. Khan Shaykhun was under opposition control at the time of the chemical attack. Opposition forces captured Khan Shaykhun in May 2014 as part of an offensive moving south towards the large city of Hama. It remained under opposition control until the Syrian government seized it in August 2019.

6. In the weeks preceding the chemical weapons attack, opposition forces launched a coordinated attack on government positions in northern Hama on 21 March 2017 and advanced rapidly towards Hama city. Syrian government forces received reinforcements from other parts of the country to repel the opposition’s attacks. Syrian and Russian air support was also redirected to northern Hama. There were regular airstrikes on Khan Shaykhun and the surrounding area between 17 March and 3 April 2017, and particularly in the days leading up to 4 April 2017.

**Timeline**

7. The chemical weapons attack on Khan Shaykhun between 06.30 and 07.00 hours on 4 April 2017.

8. There was one chemical strike out of four successive airstrikes carried out that morning. At approximately 06.30, aircraft observers issued a warning via radio that a Sukhoi-22 (“Su-22”) aircraft had taken off from Shayrat Airbase in the direction of Khan Shaykhun. The plane was observed circling Khan Shaykhun, and shortly afterwards radio warnings announced that a chemical bomb had struck the area near the “machine bakery” in Khan Shaykhun.

---


4 OPCW Fact-Finding Mission in Syria Regarding an Alleged Incident in Khan Shaykhun, 29 June 2017, S/1510/2017 (‘FFM Report’), para. 5.11; Information from witnesses.


12 JIM Report, Annex II, para. 10; Information from witnesses placing the attack between 06.30 and 06.40 hours.

13 Information from witnesses.

14 Information from witnesses.
Victims of the Attack

9. The chemical attack on Khan Shaykhun resulted in upwards of 100 deaths and hundreds of injuries. Witnesses indicated that between 70 and 100 people died, and between 400 and 800 were injured. External reporting corroborate approximately 100 deaths and several hundreds of injuries. Many of the victims were women and children. Victims were buried in mass graves.

10. At the site of the chemical attack, first responders and community members reported seeing a large number of individuals lying on the ground; many were dead or unconscious. Victims, medical staff and first responders reported that affected individuals presented the following symptoms: dizziness; burning eyes, nose, and throat; foaming at the mouth and nose; muscle spasms; seizures; loss of consciousness; shortness of breath; cyanosis; headache; eye pain; blurred vision; pinpoint pupils; itching of the body; and temporary paralysis. These symptoms are consistent with exposure to nerve agents such as sarin.

11. Casualties were taken to several medical facilities in the area; many of which became overwhelmed by the number of casualties. Rescue treatment included stripping the victims of their clothing; rinsing their bodies with water; and administering atropine and oxygen. First responders and medical personnel were affected by chemical as they transported or administered treatment to the victims of the chemical attacks.
12. Witnesses report long-term effects of the attacks, including lasting physical and psychological impacts.\textsuperscript{26}

\textbf{Impact Sites}

13. Four munitions struck Khan Shaykhun between 06:30 and 07:00 on 4 April 2017. The chemical bomb and two conventional munitions struck the northern part of Khan Shaykhun. A fourth conventional strike hit the western part of the city.\textsuperscript{27}

14. The chemical munition struck near the “machine bakery,”\textsuperscript{28} near the grain silos,\textsuperscript{29} at the coordinates: 35°26′59.75″N, 36°38′55.91″E.\textsuperscript{30} A large number of open source photographs and videos show the chemical impact site from different angles.\textsuperscript{31} The diameter of the crater was approximately 1.5 – 1.65m, with a depth of 42 – 51cm.\textsuperscript{32}

\textbf{Munitions and Delivery Systems}

15. The JIM concluded that the chemical munition used in Khan Shaykhun was thin-walled with a diameter of 300mm – 500mm and had likely been dropped from an aircraft.\textsuperscript{33}

16. The JIM found the chemical munition’s filler cap with two closure plugs to be “uniquely consistent” with Syrian chemical aerial bombs.\textsuperscript{34} It also tested samples from the filler cap collected from the chemical impact site and found them to be positive for sarin and a byproduct generated by the reaction of sarin and hexamine.\textsuperscript{35}

---

\textsuperscript{26} Information from witnesses.
\textsuperscript{27} Information from witnesses.
\textsuperscript{28} Information from witnesses.
\textsuperscript{29} Information from witnesses.
\textsuperscript{30} JIM Report, Annex II, para. 40.
\textsuperscript{32} JIM Report, Annex II, para. 46; Witnesses described the chemical impact strike as being less than 1m in depth and approximately 1.5 m wide; Information from witnesses.
\textsuperscript{33} JIM Report, para. 40.
\textsuperscript{34} JIM Report, Annex II, para. 56.
\textsuperscript{35} JIM Report, Annex II, para. 56.
17. As of 2013, the Syrian government had two types of aerial bombs: the M4000 and the MYM 6000. The OPCW-Investigation and Identification Team (IIT) described the M4000 as a munition “designed by, and manufactured in, the Syrian Arab Republic to deliver chemical agents, including sarin” as an unguided chemical air-delivered munition, weighing 350 kilograms. The online investigation journalism group Bellingcat determined, through open source investigation, that the bomb used on Khan Shaykhun was likely an M4000 chemical bomb.

18. A metal piece, which protruded from the impact site, was found to have been part of the casing of an aerial bomb. The dimensions of the crater were also consistent with an aerial bomb with a small explosive charge. Witness testimonies and video footage also support the fact that the crater was created by a bomb dropped from an aircraft.

19. The JIM investigated whether a Syrian Arab Air Force Su-22 had taken off from Shayrat air base and carried out the aerial attack on Khan Shaykhun. It confirmed that aircrafts had taken off from Shayrat airbase and that there had been air activity around Khan Shaykhun around the time of the sarin attack.

**Presence of Sarin**

20. The Fact-Finding Mission of the OPCW concluded that “the only determination that could be made was that sarin had been used as a weapon” and that “a significant number of people were exposed to sarin, of which a proportion died from that exposure”. The findings drew from biomedical samples; medical records; and interviews with survivors and medical personnel.

21. Witnesses describe seeing a white cloud that moved downward. This is consistent with sarin, which is heavier than air. Witnesses report that the chemical strike did not make a loud noise on impact. There was no odor.

22. The symptoms of the victims were widely consistent with exposure to a nerve agent, and specifically sarin, as detailed above.

---

37 IIT Report, para. 7.22.
40 JIM Report, para. 40.
41 JIM Report, Annex II, para. 17
43 FFM Report, para. 1.7.
44 FFM Report, para. 6.18.
45 FFM Report, para. 6.1.
46 Information from witnesses.
48 Information from witnesses.
49 Information from a witness.
PERPETRATORS OF THE ATTACK

23. This section analyses the responsibility of the Syrian government for the chemical attack on Khan Shaykhun on 4 April 2017.

Aircraft from Shayrat Airbase

24. According to witnesses, the plane that dropped the chemical warhead on Khan Shaykhun was a Su-22 originating from Shayrat airbase.\(^{50}\) This is supported by reports from Syria Sentry, an organization working with aircraft spotters;\(^{51}\) publicly released Western intelligence reports;\(^{52}\) the JIM which found that there had been air activity around Khan Shaykhun and that missions had been executed from Shayrat airbase at the time of the chemical weapons attack on Khan Shaykhun;\(^{53}\) and the Commission of Inquiry which noted that only the Syrian government operated Su-22s in Syria.\(^{54}\)

25. The complaint presents defector witnesses who have described the role of Shayrat Airbase in the chemical attack on Khan Shaykhun on 4 April 2017.\(^{55}\) One insider witness saw members of the Syrian Scientific Research Centre (SSRC) as they installed on aircrafts what the SSRC members described as special bombs and which the witness understood to be chemical bombs.\(^{56}\)

26. Two Su-22 left Shayrat airbase in the early morning of 4 April 2017; they had the call signs “Quds 1” and “Quds 6”.\(^{57}\) The evidence on “Quds 1” indicates it was a Su-22 from Squadron 685, flown by commander Brigadier General Mohammed Yousef Hasouri.\(^{58}\) Open sources indicate that Brigadier General Mohammed Yousef Hasouri was responsible for the chemical attack on Khan Shaykhun. An insider witness who knew Hasouri personally confirmed Hasouri’s responsibility for the attack.\(^{59}\)

Chain of command

27. President Bashar al-Assad is the Commander in Chief of the Army and Armed Forces.\(^{60}\) President Al-Assad directly exercised, and continues to exercise, control over the military.\(^{61}\) French and US intelligence reports confirm that Bashar al-Assad would make the decision to use chemical weapons.\(^{62}\)

28. A defector witness indicated that chemical attacks had special significance and were carried out with the knowledge of the General Commander of the Army, the Minister of
Defense, Chief of Staff, Commander of the Air Force and Air Defense, and the Director of the Air Force Intelligence. This implicates Imad Ali Abdullah Ayyoub, the former Chief of Staff of the Army and Armed Forces at the time of the attack and current Minister of Defence, and Major General Ahmad Ballul, the commander of the Syrian Arab Air Force and Syrian Arab Air Defense Forces.

29. A defector witness indicated that orders at Shayrat Airbase came top down from the highest level within the Command of the Air Force and the Air Force Intelligence Directorate to the Command of 22nd Air Division and then to the 50th Brigade. Witness information indicates that 22nd Division Commanders Major General Sajih Darwish and Major General Malik Hasan, as well as the Commander of the Air Force Major General Ahmad Ballul, are likely responsible for ordering the massacres that took place in the central and northern sectors of Syria, including the chemical attack on Khan Shaykhun.

Units and Individuals who are Allegedly Responsible

30. The following individuals should be investigated for their alleged role in the chemical attack on Khan Shaykhun.

- President Bashar al-Assad: As President of the Republic and Commander in Chief, President Assad holds the highest responsibility in authorizing the attack on Khan Sheikhoun, which at minimum would not have taken place without his knowledge.

- Ali Abdullah Ayyoub: The current Syrian Minister of Defence, Ayyoub was the Chief of Staff of the Army and Armed Forces at the time of the Khan Sheikhoun attack and is one of President al-Assad’s most trusted individuals. According to an insider witness, Ayyoub played a significant operational role in the Khan Shaykhun attack. Following the attack, Ayyoub visited Shayrat Airbase and awarded Hasouri for his role in destroying an al-Qaeda location in Khan Shaykhoun. Ayyoub has been sanctioned by several entities.

- Major General Ahmad Ballul: Major General Ahmad Ballul is the commander of the Syrian Arab Air Force and Syrian Arab Air Defense Forces. Due to his senior position, it is extremely unlikely that the chemical attack on Khan Sheikhoun would have taken place without his knowledge. He has been sanctioned by the US, UK and EU for his use of chemical weapons against civilians.

---

63 Information from a witness.
64 Information from a witness.
65 Information from witnesses.
66 Information from witnesses.
• 22nd Division Commanders Major General Sajih Darwish and Major General Malik Hasan: UK and EU sanctions list Darwish and Hasan as senior officers and commanders of the 22nd Division responsible for the use of chemical weapons by aircraft. The US sanctioned Darwish as a senior official in the Syrian Arab Air Force for his connection with the Syrian government’s use of chemical weapons. According to a defector witness, Hasan was responsible for the use of chemical weapons by aircraft operating from airbases under the control of the 22nd Division. Given Darwish and Hasan’s senior positions in the 22nd Division, both individuals should be further investigated for their responsibility for the Khan Shaykoun attack.

• Brigadier General Muhammed Yousef Hasouri: Hasouri was the Commander of the 685th Squadron at the time of the attack. He is named by an insider witnesses and open sources as the pilot who carried out the attack on Khan Shaykoun. He is known by his callsign, “Quds-1”, and was personally awarded after the attack by Ayyoub. He has been sanctioned by the UK and EU, including specifically for his involvement in the chemical weapons proliferation sector.

Characteristics linking sarin to the Syrian government

31. Characteristics of the sarin chemical agent deployed in Khan Shaykhun link the chemical attack to the Syrian government. The JIM analyzed the samples collected in relation to the Khan Shaykhun incident and concluded that the sarin was “most likely” made with a precursor chemical, methylphosphonyl difluoride (DF) from the Syria government’s pre-2014 stockpile.

32. The IIT compared the chemical signature in the samples collected in Khan Shaykhun to the samples collected in relation to chemical attacks on the town of Ltamenah on 24 and 30 March 2017 and found “significant similarities”. The IIT stated that the evidence strongly suggests that the sarin collected in all three incidents was


69 EU Decision on Restrictive Measures against Syria states about Darwish ‘Operates in the chemical weapons proliferation sector and is responsible for the violent repression of the civilian population. As a senior ranking officer of the Syrian Arab Air Force and Commander of the 22nd Division until April 2017 he holds responsibility for the use of chemical weapons by aircraft operating from airbases under the control of the 22nd Division, including the attack on Talmenes that the Joint Investigative mechanism reported was conducted by Hama airfield-based regime helicopters’ and describes Hasan as ‘a senior officer of the Syrian Air Force and in the chain of command of the 22nd Division, he is responsible for the violent repression of the civilian population in Syria, including the use of chemical weapons by aircraft operating from airbases under the control of the 22nd Division, such as the attack on Talmenas that the Joint Investigative Mechanism established by the United Nations reported was conducted by Hama airfield-based regime helicopters’. UK Sanctions List describes Darwish as ‘A senior officer and Commander of the 22nd Division of the Syrian Arab Air Force until April 2017. Operates in the chemical weapons proliferation sector. Responsible for the use of chemical weapons by aircraft, including the attack on the Talmenes’ and Hasan as ‘Responsible for the use of chemical weapons by aircraft operating from airbases under the control of the 22nd Division, such as the attack on Talmenas.’

70 US Sanctions Linked to OPCW-UN Findings.

71 UK Sanctions List states ‘Senior Officer of the Syrian Air Force. He operates in the chemical weapons proliferation sector’; EU Decision on Restrictive Measures against Syria states ‘Brigadier General Muhammad Hasouri operates in the chemical weapons proliferation sector. As a senior military officer he is responsible for the violent repression of the civilian population in Syria’.

72 JIM Report, Annex II, paras. 91, 92(h).

73 IIT Report, para. 11.8.
manufactured through the same process.\textsuperscript{74} Only the Syrian government was known to use this manufacturing process.\textsuperscript{75}

**CONTEXT OF THE CHEMICAL ATTACKS**

33. The Syrian government’s chemical attack on Khan Shaykhun appeared to have aimed at halting the advance of opposition forces towards Hama city and regain key strategic areas. Pro-government forces and their allies rapidly advanced north towards Khan Shaykhun in the wake of the chemical attack and recaptured most of the territories it had lost to the opposition’s offensive by 19 April.\textsuperscript{76}

34. The chemical weapons attack on Khan Shaykhun is part of a broader pattern of deliberate, indiscriminate and widespread attacks perpetrated by the Syrian government against civilians in opposition-held areas. Syrian Archive has documented 212 chemical weapons attacks between 2011 and 2019, of which at least five were sarin attacks.\textsuperscript{77}

35. The Syrian government has pursued a military strategy of punitive counterinsurgency and collective punishment against the civilian population in areas held by opposition forces.\textsuperscript{78} As a result, civilians have been the first casualties of the Syrian government’s repeated attacks. By some estimates, the indiscriminate violence led the share of civilian casualties to rise dramatically from 4% in 2011 to 48% in 2012.\textsuperscript{79}

36. Consistent with the Syrian government’s strategy of deliberately targeting civilians, the chemical attack on Khan Shaykhun was not an isolated incident. It was closely preceded by two other sarin attacks carried out from Shayrat Airbase on Ltamenah, a town less than 15 kilometers from Khan Shaykhun. The IIT concluded, in relation to the 24 and 30 March 2017 attacks, that there were reasonable grounds to believe that M4000 aerial bombs containing sarin were dropped by a Su-22 military airplane belonging to the 50th Brigade of the 22nd Air Division of the Syrian Arab Air Force which had departed from Shayrat airbase.\textsuperscript{80}

37. The Ltamenah attacks on 24 March and 30 March 2017 bear striking similarities to the 4 April 2017 attack on Khan Shaykhun. The attack on Khan Shaykhun and the two attacks on Ltamenah were carried out by the same Su-22 military airplanes which departed from the Shayrat airbase in the early morning, and used the same munitions delivery system to release sarin, namely M4000 aerial bombs. The IIT analyzed in detail the chemical profile of the sarin used in Ltamenah and found it strongly correlated to the chemical profile of the sarin manufactured by the Syrian government using the same precursors and raw materials.\textsuperscript{81} The IIT compared the chemical signature in the samples from the 24 and 30 March 2017 incidents in Ltamenah with that of the samples collected in relation to the Khan Shaykhun incident and found

\textsuperscript{74} IIT Report, para. 11.8.
\textsuperscript{75} IIT Report, para. 11.3.
\textsuperscript{79} Schneider and Lütkefend, ‘Nowhere to Hide, p. 26-27 based on data from the Violations Documentation Centre.
\textsuperscript{80} IIT Report, para. 11.1.
\textsuperscript{81} IIT Report, para. 11.3
“significant similarities”. The IIT concluded that the evidence “strongly indicates” that the sarin used in Ltamenah and in Khan Shaykhun were manufactured through the same process.

38. The pattern of violence against civilians also included the deliberate targeting of medical facilities near Khan Shaykhun around the time of the Khan Shaykhun Sarin attack. In the days preceding the chemical weapons attack on 4 April 2017, the Syrian government carried out a number of strikes targeting medical facilities in the area, diminishing their capacity to give effective care. The National Hospital in Ma’aret al-Noman was hit by three delayed fuse aerial bombs on 2 April 2017. The bombs wounded up to 25 people and destroyed the upper floors of the hospital where the inpatients room and intensive care unit were located. The Ma’aret al-Noman hospital would have been the facility best equipped to provide medical care to victims of a chemical attack. The hospital was only able to treat 15 victims of the chemical attack on Khan Shaykhun because of the damage from the strike on 2 April 2017.

39. Approximately 4.5 hours after the chemical weapons attack on Khan Shaykhun, the Syrian or Russian governments targeted the Al-Rahma Hospital and civil defense center in Khan Shaykhun with multiple successive airstrikes. These medical facilities were treating hundreds of victims of the chemical attack. Eyewitnesses reported they were carried out by jetfighters. The first attack was reportedly carried out by a Syrian MiG-23 between 12.20 and 12.42 hours. The second attack was reputedly carried out by two Russian jets at approximately 1.30pm. The Commission of Inquiry concluded that the ZAB 2.5SM cluster incendiary munitions had been used which provided reasonable grounds to conclude that Syrian and/or Russian forces conducted the attack.

THE CHEMICAL WEAPONS ATTACK IS AN INTERNATIONAL CRIME

40. The use of chemical weapons on Khan Shaykhun constitutes war crimes prohibited under international criminal law, including the war crime of employing poison or poisoned weapons and the war crime of employing prohibited gases, liquids, materials or devices.

41. The chemical attack on Khan Shaykhun also constitutes crimes against humanity prohibited under international criminal law, including murder and other inhumane acts intentionally causing great suffering or serious injury to body or to mental or physical health.

---

82 IIT Report, para. 11.8.
83 IIT Report, para. 11.8.
84 Information from a witness.
85 COI Report, Annex II, para. 15.
86 COI Report, Annex II, para. 15.
90 Article 8(2)(e)(xiii), Statute of the International Criminal Court (‘ICC Statute’).
91 Article 8(2)(e)(xiv), ICC Statute.
92 Article 7(1)(a), ICC Statute.
93 Article 7(1)(k), ICC Statute.